



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/781,023	02/09/2001	Neil H. Riordan	RIORD.006A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 1580

## FORMALITIES LETTER



\*OC000000005858937\*

Date Mailed: 03/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/23/2001 DTESSEM1 00000043 09781023

01 FC:205

65.00 CP



PATENT

Case Docket No. RIORD.006A

Date: May 14, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Riordan, N.  
App. No. : 09/781,023  
Filed : February 9, 2001  
For : METHOD FOR INDUCING  
AN ANTI-TUMOR AND  
ANTI-CACHEXIA IMMUNE  
RESPONSE IN MAMMALS

Group Art Unit : Unknown

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

May 14, 2001

(Date)

*Daniel E. Altman*  
Daniel E. Altman, Reg. No. 34,115

## TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was  
mailed by the Office on March 14, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

SURCHARGE 37 CFR 1.16(e)	\$ + 65
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TOTAL FEES SUBMITTED HERewith	\$ 65
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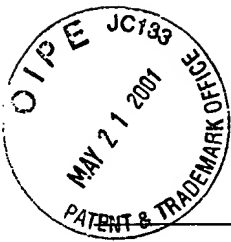
- (X) A check in the amount of \$65 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required,  
now or in the future, or credit any overpayment, to Account No. 11-1410.

*Daniel E. Altman*

Daniel E. Altman

Registration No. 34,115

Attorney of Record



H.A.

0300

PATENT

Case Docket No. RIORD.006A

Date: May 17, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Riordan, N.  
App. No. : 09/781,023 ✓  
Filed : February 9, 2001  
For : METHOD OF INDUCING  
AN ANTI-TUMOR AND  
ANTI-CACHEXIA  
IMMUNE RESPONSE IN  
MAMMALS

I hereby certify that this correspondence and all  
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United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

May 17, 2001

(Date)

*Daniel E. Altman*  
Daniel E. Altman, Reg. No. 34,115

Group Art Unit : Unknown

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

Enclosed is:

- (X) A Power of Attorney Form and Copy of Assignment.
- (X) Return prepaid postcard.
- (X) The Commissioner is hereby authorized to charge any additional fees that may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

*Daniel E. Altman*

Daniel E. Altman  
Registration No. 34,115  
Attorney of Record